



Wilson Sensini Goodrich & Rosati  
PROFESSIONAL CORPORATION

To: Primary Examiner Shay

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From: Shirley Chen

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Date: November 16, 2000

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Message: **PLEASE FORWARD TO EXAMINER SHAY- GROUP ART UNIT 3739  
FOR APPLICATION NO.: 09/003,098 ---**

**OFFICIAL PRELIMINARY AMENDMENT**

Ref: 16904-727

Return Original to: Donna Hengst

Location: FH1-2

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**GROUP 3700**

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GROUP 3700

PTO 12/21 (6-99)

Approved for use through 09/30/2000. Form 0651-0031  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/003,098	
	<b>Filing Date</b>	January 6, 1998	
	<b>First Named Inventor</b>	Edward Knowlton	
	<b>Group/Art Unit</b>	3739	
	<b>Examiner Name</b>	D. Shay	
<b>Total Number of Pages in This Submission</b>	9	<b>Attorney Docket Number</b>	16904-727

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> <input type="checkbox"/> <b>Fee Attached</b> <input checked="" type="checkbox"/> <b>Amendment / Response</b> <input type="checkbox"/> <b>After Final</b> <input type="checkbox"/> <b>Affidavits/declaration(s)</b> <input type="checkbox"/> <b>Extension of Time Request</b> <input type="checkbox"/> <b>Express Abandonment Request</b> <input type="checkbox"/> <b>Information Disclosure Statement</b> <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> <input type="checkbox"/> <b>Response to Missing Parts/Incomplete Application</b> <input type="checkbox"/> <b>Response to Missing Parts under 37 CFR 1.52 or 1.53</b>	<input type="checkbox"/> <b>Assignment Papers (for an Application)</b> <input type="checkbox"/> <b>Drawing(s)</b> <input type="checkbox"/> <b>Licensing-related Papers</b> <input type="checkbox"/> <b>Petition Routing Slip (PTO/SB/69) and Accompanying Petition</b> <input type="checkbox"/> <b>Petition to Convert to a Provisional Application</b> <input type="checkbox"/> <b>Power of Attorney, Revocation Change of Correspondence Address</b> <input checked="" type="checkbox"/> <b>Terminal Disclaimer</b> <input type="checkbox"/> <b>Small Entity Statement</b> <input type="checkbox"/> <b>Request for Refund</b>	<input type="checkbox"/> <b>After Allowance Communication to Group</b> <input type="checkbox"/> <b>Appeal Communication to Board of Appeals and Interferences</b> <input type="checkbox"/> <b>Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</b> <input type="checkbox"/> <b>Proprietary Information</b> <input type="checkbox"/> <b>Status Letter</b> <input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b> <div></div>
<b>Remarks</b> PRELIMINARY AMENDMENT		

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

<b>Firm or Individual name</b>	Shirley Chen, Reg. No. 44,608, WILSON SONSINI GOODRICH & ROSATI		
<b>Signature</b>			
<b>Date</b>	November 16, 2000		

**CERTIFICATE OF FACSIMILE**

I hereby certify that this correspondence is being sent by facsimile to Examiner Shay at (703) 308-0758 at the U.S. Patent And Trademark Office on this date:			
			November 16, 2000
<b>Typed or printed name</b>	Donna Hengst		
<b>Signature</b>		<b>Date</b>	November 16, 2000

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**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/05-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 0)

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 23-2415 (Docket No. 16904-727)

Deposit Account Name Wilson Sonsini Goodrich &amp; Rosati

☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** =		0
1	-1** =		0

Multiple Dependent

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**Complete (if known)**

Application Number	09/003,098
Filing Date	January 6, 1998
First Named Inventor	Edward Knowlton
Examiner Name	D. Shay
Group/Art Unit	3739
Attorney Docket Number	16904-727

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIA prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIA after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			25	Request for Corrected Filing Receipt	
Other fee (specify)			55/110	Terminal Disclaimer	

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Name (Print/Type) Shirley Chen

Registration No.

(Attorney/Agent) 44,608

Telephone

650-493-9300

Signature

Shirley Chen

Date

11/16/2000

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